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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/071,500	02/08/2002	Shuguang Zhang	0050.1587-003	4636

21005 7590 12/16/2005

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EXAMINER

SHIBUYA, MARK LANCE

ART UNIT	PAPER NUMBER
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1639

DATE MAILED: 12/16/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No. 10/071,500	Applicant(s) ZHANG ET AL.	
	Examiner Mark L. Shibuya	Art Unit 1639	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 06 September 2005.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-8 and 17-22 is/are pending in the application.
- 4a) Of the above claim(s) 17-20 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-8, 21 and 22 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. Claims 1-8, 17-22 are pending. Claims 17-20 are withdrawn. Claims 1-8, 21 and 22 are examined.

#### ***Withdrawn Rejections***

2. The rejection of Claims 1-16 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claims 2-7 of U.S. Patent No. 6,368,877 B1, is withdrawn in view of the terminal disclaimer filed 5/20/2005.
3. The provision rejection of Claims 1-16 under the judicially created doctrine of obviousness-type double patenting as being unpatentable over claim 1-6 of copending Application No. 10/317,838, is withdrawn as moot, in view of the abandonment of Application No. 10/317,838.
4. The rejection of Claims 13 under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention, is withdrawn in view of applicant's arguments and amendments, filed 5/20/200.

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5. The rejection of Claims 1-8, 21 and 22 are rejected under 35 U.S.C. 112, first paragraph, for lack of scope of enablement, is withdrawn in view of applicant's arguments.

6. The rejection of Claims 1, 9, 13 and 14 are rejected under 35 U.S.C. 102(b) as being anticipated by Kauvar (US 5,384,263) (issued 1/24/1995) is withdrawn in view of applicant's arguments. The notation of claims 9, 13, and 14 as being included in the rejection was inadvertent.

#### ***Election/Restrictions***

7. Applicant's election of the species of a normal somatic cell (claims 1-8, 21 and 22), in the reply filed on 9/6/2005 is acknowledged. Because applicant did not distinctly and specifically point out the supposed errors in the restriction requirement, the election has been treated as an election without traverse (MPEP § 818.03(a)).

8. Claims 17-20 remain withdrawn from further consideration pursuant to 37 CFR 1.142(b) as being drawn to a nonelected Invention, there being no allowable generic or linking claim. Election was made **without** traverse in the reply filed on 9/1/2004.

#### ***Priority***

9. This application was filed 2/8/2002. This application is a continuation of 08/882,415, filed 6/25/1997, now US Patent 6,368,877, issued 4/9/2002.

***Claim Rejections - 35 USC § 112***

***Claim Rejections - 35 USC § 112, Second Paragraph***

10. Claims 1-8, 21 and 22 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. Rejection of newly added claims 21 and 22 is necessitated by applicant's amendments to the claims. This rejection is maintained for the reasons of record as set forth in the previous Office action. The rejection is copied below for the convenience of the reader.

The term "predetermined" in claims 1 and 9, and their dependent claims, is a relative term which renders the claim indefinite. The term "predetermined" is not defined by the claims, the specification does not provide a standard for ascertaining the requisite degree, and one of ordinary skill in the art would not be reasonably apprised of the scope of the invention. While the instant Specification, at p. 10, lines 15-17, defines "predetermined pattern" to mean that "the solid support has ordered areas where the peptides are bonded and not bonded to the solid support", the mental steps by which patterns are "predetermined" are not clear. Furthermore, it is unclear as how to determine whether a pattern of bonded peptide is "predetermined".

Applicant argues that the term "pre-determined" is clear, and refers to a pattern that was known or designed prior to fabrication of the array, and not to a random or homogeneous distribution of the peptide over the entire surface.

**Response to Arguments**

Applicant's arguments entered 5/20/2005 have been fully considered but they are not persuasive. The examiner respectfully submits that in viewing a product of the claimed composition of matter wherein the peptide are bound in a pre-determined

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pattern, one of skill in the art would not be able to distinguish a pre-determined pattern from one that was not. The term "predetermined" does not provide a definite pattern or structure to the claimed invention. One of skill in the art would not be reasonably apprised of the metes and bounds of the claimed invention.

*Claim Rejections - 35 USC § 112, First Paragraph*

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

11. Claims 1-8, 21 and 22 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. This is a *Written Description Rejection*. Rejection of newly added claims 21 and 22 is necessitated by applicant's amendments to the claims. This rejection is maintained for the reasons of record as set forth in the previous Office action. The rejection is copied below for the convenience of the reader.

Claims 1-8, 21 and 22 are drawn to compositions comprising a solid support and a self-assembled monolayer of linear peptides bound in a pre-determined pattern to said solid support by a bond between the solid support and a terminal amino acid of the linear peptides, said peptides comprising a presenting group that binds specifically to a cell surface protein, and a central linker, and compositions comprising a solid support and a self-assembled monolayer of linear peptides bound to in a pattern to said solid support by a bond between the solid support and a terminal amino acid of linear peptides, and

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wherein the linear peptides comprise a presenting group is a peptidyl group which possesses an affinity to a target molecule.

The instant Specification, for example at p. 2, lines 16-24 and p. 6, line 6-p. 8, line 2, contemplate solid supports presenting peptides that bind to targets, most preferably target molecules that are present on the surfaces of cells. These target molecules include tumor markers, cellular receptors, such as CD4 and CD8, neuronal cell receptors including N-CAMs, L1 receptors, NGF receptor, netrin receptors and others. Targets can include non-cellular targets, including viruses and proteins. The specification at p. 18, lines 13-19, discloses preferred peptides include peptides wherein the presenting group is a cell adhesion motif or peptide which binds to neuronal cells; such as cell adhesion motifs that are (RADX)(SEQ ID No:2), (RADS)<sub>n</sub> (SEQ ID No:3), (EAKX)<sub>n</sub> (SEQ ID No:4), and (EAKS)<sub>n</sub> (SEQ ID No:5), wherein X is an amino acid, such as S, and n is an integer, preferably between about 2 to about 8. The specification at p. 19, lines 9-14, disclose working examples where the "RADSC" peptide was coated onto surfaces which were then capable of cell attachment.

The prior art of Prieto et al., (Proc. Natl. Acad. Sci. USA. Vol. 90, pp. 10154-10158, November 1993), at p. 10154, para 1-2, teaches that there are a plurality of different proteins and protein families with distinct domains that mediate cell adhesion. Prieto et al., at p. 10154, para 2, teach that different cell adhesion peptide motifs are not conserved among different species, so that the RGD tripeptide, while is present in the chicken and human homologs, is absent in the mouse, newt, and pig, where it is replaced by variants. Prieto et al. at p. 10157, para 2-4, teach that mutation of cell adhesion motifs, such as RGD to RAD, are found by experimentation to completely abolish cell adhesion.

The claims are drawn to compositions comprising a solid support and pre-determined patterns of monolayers of linear peptides that bind specifically to a cell surface protein, or monolayers of linear peptides comprising a peptidyl presenting group that possesses an affinity to a target molecule. The claims do not require that the peptides possess any particular amino acid sequence, conserved structure, or other distinguishing feature. Furthermore, the specification does not describe the genus of pre-determined patterns of monolayers, and does not describe how to distinguish pre-determined monolayers of linear peptides from monolayers that are not pre-determined. Thus, the claims are drawn to a genus of peptides whose essential feature is that defined by being able to specifically bind to a cell surface protein or a target molecule.

To provide adequate written description and evidence of possession of a claimed genus, the specification must provide sufficient distinguishing identifying characteristics of the genus. The factors to be considered include disclosure of complete or partial structure, physical and / or chemical properties, functional characteristics, structure / function correlation, methods of making the claimed product, and any combination thereof. In this case, the only factor present in the claim that is sufficiently disclosed in the specification are the peptides (RADX) (SEQ ID No:2), (RADS)<sub>n</sub> (SEQ ID No:3), (EAKX)<sub>n</sub> (SEQ ID No:4), and (EAKS)<sub>n</sub> (SEQ ID No:5), wherein X is an amino acid, such as S, and n is an integer, preferably between about 2 to about 8 and the "RADSC" peptide. The specification does not identify any particular portion of the structure that must be conserved, nor does it provide a disclosure of structure / function correlation for any cell surface protein or any target molecule. The specification does not describe pre-determined patterns of monolayers of linear peptides, such that one of skill in the would have adequate notice of what is claimed. Accordingly, the specification does not provide adequate written description of the claimed genus.

Vas-Cath Inc. v. Mahurkar, 19 USPQ 2d 1111, clearly states "applicant must convey with reasonable clarity to those skilled in the art that, as of the filing date sought, he or she was in possession of the invention. The invention is, for purposes of the 'written description' inquiry, *whatever is now claimed*." (See Vas-Cath at page 1117). The specification does not "clearly allow persons of ordinary skill in the art to recognize that [he or she] invented what is claimed." (See Vas-Cath at page 116). As discussed above, the skilled artisan cannot envision the detailed chemical structure of the encompassed genus of peptides, and therefore conception is not achieved until reduction to practice has occurred, regardless of the complexity or simplicity of the method of isolation. Adequate written description requires more than a mere statement that it is part of the invention and reference to a potential method of isolating it. The compound itself is required. See Fiers v. Revel, 25 USPQ 2d 1601 at 1606 (CAFC 1993) and Amgen Inc. v. Chugai Pharmaceutical Co. Ltd., 18 USPQ2d 1016.

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One cannot describe what one has not conceived. See *Fiddes v. Baird*, 30 USPQ2d 1481 at 1483. In *Fiddes*, claims directed to mammalian FGF's were found to be unpatentable due to lack of written description for that broad class. The specification provided only the bovine sequence.

Therefore, only isolated peptides comprising the amino acid sequence set forth in the peptides (RADX) (SEQ ID No:2), (RADS)<sub>n</sub> (SEQ ID No:3), (EAKX)<sub>n</sub> (SEQ ID No:4), and (EAKS)<sub>n</sub> (SEQ ID No:5), wherein X is an amino acid, such as S, and n is an integer, preferably between about 2 to about 8 and the "RADSC" peptide, but not the full breadth of the claim, meets the written description provision of 35 U.S.C. § 112, first paragraph. Applicant is reminded that Vas-Cath makes clear that the written description provision of 35 § 112 is severable from its enablement provision.

Applicant argues that based on the teaching of the instant specification, one of skill in the art could readily design a linear peptide that meets the limitations of the instant claims. Applicant argues that enough presenting groups were known already at the time of filing for one of ordinary skill in the art to practice the invention.

#### Response to Arguments

Applicant's arguments entered 5/20/2005 have been fully considered but they are not persuasive. The disclosure does not provide a sufficient number of species of peptides or presenting groups such that the practitioner would envision that applicant had possession of presenting groups which would bind specifically to the broad genus of cell surface proteins. One of skill in the art would not be given notice that a composition of the claimed invention did or did not have a predetermined pattern.

#### ***Claim Rejections - 35 USC § 102***

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

12. Claims 1-5, 9-15, 21 and 22 are rejected under 35 U.S.C. 102(b) as being anticipated by Mrksich et al., TibTech (Trends In Biotechnology) June 1995 (Vol. 13, no.



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6), pp. 228-235. Rejection of newly added claims 21 and 22 is necessitated by applicant's amendments to the claims. This rejection is maintained for the reasons of record as set forth in the previous Office action. The rejection is copied below for the convenience of the reader.

Claims 1-5 and 9-15 are drawn to compositions comprising a solid support and a self-assembled monolayer of linear peptides bound in a pattern to said solid support by a bond between the solid support and a terminal amino acid of the linear peptides, said peptides comprising a presenting group that binds specifically to a cell surface protein, and a central linker between the presenting group and the terminal amino acid; and compositions comprising a solid support and a self-assembled monolayer of linear peptides bound in a pattern to said solid support by a bond between the solid support and a terminal amino acid of linear peptides.

Mrksich et al., throughout the publication, and especially at p. 229, para 3, p. 230, para 5-p. 231, para 1, teach reproducible patterns of self-assembled monolayers (SAMs) on silicon or gold surfaces produced by microcontact printing or photolithography using a mask; at p. 231, para 3, Figure 2, teach patterned adsorption of proteins on surfaces; at p. 229, para 5-p.230, para 4, teach protein adsorption of proteins onto SAMs (taken as the bonding of an amino terminus of a polypeptide to a surface), as well as surfaces that resist adsorption of proteins, and the attachment and growth of cells on SAMs on peptides fractions of the extracellular matrix (including the peptides Arg-Gly-Asp and Tyr-Ile-Gly-Ser-Arg; at p. 232, teach SAMs as component of analytical devices; and at p. 234, Fig. 6, show a gold surface to which ethylene glycol groups and Ni(II) complexes are bound and where a histidine tagged T-cell receptor (comprising a central linker of amino acids and a presenting group that can bind, absent evidence to the contrary, to a cell surface protein or target molecule) is bound through the histidine, *i.e.*, a terminal amino acid, to a Ni(II) complex, and thereby to the surface.

Mrksich et al., at p. 232, teach the attachment of hepatocytes, reading on a normal somatic cell, (as in claims 21 and 22).

Applicant argues that the claim limitation that a terminal amino acid of a linear peptide is bound to a solid support by a bond is not disclosed by Mrksich et al.

Applicant argues that the specification does not define a solid support as including alkanethiols attached to the solid support. Applicant argues that Mrksich et al. does not disclose a bond between the terminal amino acid and the solid support.

### Response to Arguments

Applicant's arguments entered 5/20/2005 have been fully considered but they are not persuasive. The instant Specification at p. 8, lines 15-20, that a bond between the solid support can be understood to connect the terminal amino acid to the solid support by atoms that are part of the terminal amino acid. The specification, in describing what a solid support can be, do not provide a limiting definition of a solid support that would preclude defining a solid support as including alkanethiols attached to the solid support. Mrksich et al., at p. 234, Fig. 6, show a gold surface to which ethylene glycol groups and Ni(II) complexes are bound and where a histidine tagged T-cell receptor is bound through the histidine, *i.e.*, a terminal amino acid, to a Ni(II) complex, and thereby to the surface.

13. Claims 1, 2, 4, 6, 7, 9, 10, 13, and 14 are rejected under 35 U.S.C. 102(b) as being anticipated by Pirrung et al. (US 5,143,854) (issued 9/1/1992). Rejection of newly added claims 21 and 22 is necessitated by applicant's amendments to the claims. This rejection is maintained for the reasons of record as set forth in the previous Office action. The rejection is copied below for the convenience of the reader.

Claims 1, 2, 4, 6, 7, 9, 10, 13, and 14 are drawn to compositions comprising a solid support and a self-assembled monolayer of linear peptides bound in a pattern to said solid support by a bond between the solid support and a terminal amino acid of the linear peptides, said peptides comprising a presenting group that binds specifically to a cell surface protein, and a central linker between the presenting group and the terminal amino acid; and compositions comprising a solid support and a self-assembled monolayer of linear peptides bound in a pattern to said solid support by a bond between the solid support and a terminal amino acid of linear peptides, and variations thereof.

Pirrung et al. (US 5,143,854), throughout the patent and at col. 2, lines 23-40, teach the Merrifield method of solid phase peptide synthesis, wherein an amino acid is covalently bonded to a support made of an insoluble polymer or other material; at col. 3, lines 6-60, teach photolithography, which involve masks, to synthesize molecules at precisely known locations on a substrate; at col. 6, lines 9-21, teach monomers that can be amino acids and that can form polymers; at col. 6, lines 41-59, teach receptors

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that are cell membrane receptors; at col. 7, lines 49-57, teach substrates as materials having a rigid or semi-rigid surface, generally insoluble in a solvent of interest; at col. 8, lines 1-7, teach a predefined region as a localized area on a surface that may have any convenient shape; at col. 8, lines 17-33, teach synthetic strategies and devices involving solid-phase chemistry for synthesizing peptides; at col. 8, lines 46-51, teach the optional use of linker on a surface of the substrate; at col. 9 line 14-col. 10, line 16, teach synthesizing a sequence  $S-L-M_n-P_1$  on a region of a surface, a sequence  $S-L-P_0$ , on remaining regions of the surface (taken to read on inert, background regions), a sequence  $S-M_1-M_2-M_3$ , wherein M is monomer unit, S is a surface, L is a linker molecule and P is a protecting group, and a sequence  $S-[L]-(M_i)-(M_j)-(M_k) \dots (M_x)-[C]$ , wherein C is a capping unit and the square brackets indicate optional groups; at col. 10, lines 32-43, teach polymers prepared on a substrate for binding to receptors on a cell; at col. 10, lines 54-65, teach the compositions for the immobilization of cells in patterns on a surface via molecular recognition of specific polymer sequences; at col. 27, line 49-col. 29, line 68, Figure 13A-Fig. 20, teach an arrays of peptides in various patterns, including checkerboards and strips on glass slides, wherein regions comprising peptides have surrounding regions without peptides, and provide examples of array devices with a pattern.

Pirrung et al. teach photolithography and mask technology for their solid phase synthesis of attached peptides, which is inherently a self-assembled monolayer, as evidenced by Mrksich et al., TibTech June 1995 (Vol. 13), pp. 228-235. It is noted that the instant specification does not disclose any particular molecular structure that distinguishes a peptide array formed as a self-assembled monolayer from a peptide array formed by any different processes. The specification states that the composition of matter comprises a solid support and a self-assembled monolayer of linear peptides wherein said peptides bound directly to said solid support through a terminal amino acid in a pattern. (The instant Specification at p. 2, lines 25-27). Therefore, absent evidence to the contrary, the peptide arrays of the devices taught by Pirrung et al. do not differ from the self-assembled monolayers of the claimed invention.

Pirrung et al. teach receptors for hormones, which reads on mammalian somatic cells, as in claims 21 and 22.

Applicant argues that the reference of Pirrung et al. does not teach peptides which are specific for a cell surface protein.

#### Response to Arguments

Applicant's arguments entered 5/20/2005 have been fully considered but they are not persuasive. Pirrung et al., at col. 6, lines 41-59, teach receptors that are cell membrane receptors and at col. 10, lines 54-65, teach the compositions for the immobilization of cells in patterns on a surface via molecular recognition of specific polymer sequences. Pirrung et al. teach polymer sequences as including polypeptides

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(reading on proteins). Therefore, the examiner respectfully submits that Pirrung et al. teach peptides which are specific for a cell surface protein.

14. Claims 1, 4-7, 9, and 12-16 are rejected under 35 U.S.C. 102(b) as being anticipated by Holmes (US 5,527,681) (issued 6/18/1996). Rejection of newly added claims 21 and 22 is necessitated by applicant's amendments to the claims. This rejection is maintained for the reasons of record as set forth in the previous Office action. The rejection is copied below for the convenience of the reader.

Claims 1, 4-7, 9, and 12-16 are drawn to compositions comprising a solid support and a self-assembled monolayer of linear peptides bound in a pattern to said solid support by a bond between the solid support and a terminal amino acid of the linear peptides, said peptides comprising a presenting group that binds specifically to a cell surface protein, and a central linker between the presenting group and the terminal amino acid; and compositions comprising a solid support and a self-assembled monolayer of linear peptides bound in a pattern to said solid support by a bond between the solid support and a terminal amino acid of linear peptides, and variations thereof.

Holmes (US 5,527,681), throughout the patent and at col. 1, lines 36-45, teaches the Merrifield method of solid phase peptide synthesis, wherein an amino acid is covalently bonded to a support made of an insoluble polymer or other material; at col. 2, lines 1-43, teach photolithography, which involve masks, to synthesize molecules at precisely known locations on a substrate; at col. 4, lines 43-61, teach receptors that include cell surface receptor molecules; at col. 4, line 66-col. 5, line 28, teaches substrates as materials having a rigid or semi-rigid surface, generally insoluble in a solvent of interest, and a predefined region as a localized area on a surface; at col. 6, lines 56-67, teaches synthetic strategies and devices involving solid-phase chemistry for synthesizing peptides; at col. 7, lines 31-35, teaches the optional use of linker on a surface of the substrate; at col. 7, lines 43-48, teach glass substrates; at col. 8, line 5-col. 9, line 20, teaches synthesizing a sequence S-L-M<sub>1</sub>-P on a region of a surface, as well as remaining regions of the surface (taken to read on inert, background regions), a sequence S-L-P<sub>σ</sub>, a sequence S-M<sub>1</sub>-M<sub>2</sub>-M<sub>3</sub>, wherein M is monomer unit, S is a surface, L is a linker molecule and P is a protecting group, and a sequence S-[L]-(M<sub>1</sub>)-(M<sub>2</sub>)-(M<sub>3</sub>) . . . (M<sub>n</sub>)-[C], wherein C is a capping unit and the square brackets indicate optional groups; at col. 9, lines 22-34, teach polymers prepared on the substrate that may be used for receptors on a cell; at col. 9, lines 50-55, teaches the compositions for the immobilization of cells in patterns on a surface via molecular recognition of specific polymer sequences; at col. 10, line 66-col. 11, line 26, teaches an array of peptides; at col. 14, line 57-col. 15, line 7, teach a tether molecule (T) coupled to a surface of the substrate, where T may be a monomer in a polymer, such as glutamic acid, serine, cysteine; at col. 17, lines 28-39, teaches monomers, such as glutamic acid, that are readily attachable to the substrate; and at col. 26, lines 20-40, teach the application of photolithography to create arrays of spatially-addressable chemical libraries, and provide examples of pairs of slides comprising the same polypeptide, indicating the manufacture of devices with a pattern.

Holmes teaches photolithography and mask technology for their solid phase synthesis of the attached peptides, which is inherently a self-assembled monolayer, as evidenced by Mrksich et al., TibTech June 1995 (Vol. 13), pp. 228-235. It is noted that the instant specification does not disclose any particular molecular structure that distinguish a peptide array formed as a self-assembled monolayer from a peptide array formed by different processes. Therefore, absent evidence to the contrary, the peptide

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arrays of the devices taught by Holmes do not differ from the self-assembled monolayers of the claimed invention.

Holmes teaches receptors for hormones, which reads on mammalian somatic cells, as in claims 21 and 22.

Applicant argues that the reference of Holmes does not teach peptides which are specific for a cell surface protein.

#### Response to Arguments

Applicant's arguments entered 5/20/2005 have been fully considered but they are not persuasive. Holmes, at col. 4, lines 43-61, teaches receptors that include cell surface receptor molecules and at col. 9, lines 50-55, teaches the compositions for the immobilization of cells in patterns on a surface via molecular recognition of specific polymer sequences. Holmes teaches polymer sequences as including polypeptides (reading on proteins). Therefore, the examiner respectfully submits that Holmes et al. teach peptides which are specific for a cell surface protein.

#### ***Claim Rejections - 35 USC § 103***

The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

15. Claims 1, 5-8, 21 and 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over any of **Mrksich et al.**, TibTech (Trends In Biotechnology) June 1995

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(Vol. 13, no. 6), pp. 228-235; **Pirrung et al.** (US 5,143,854); or **Holmes**, (US 5,527,681), each taken separately; and further in view of **Schatz et al.**, (US 5,270,170).

Rejection of newly added claims 21 and 22 is necessitated by applicant's amendments to the claims. This rejection is maintained for the reasons of record as set forth in the previous Office action. The rejection is copied below for the convenience of the reader.

Claims 1 and 5-8 are drawn to compositions comprising a solid support and a self-assembled monolayer of linear peptides bound in a pattern to said solid support by a bond between the solid support and a terminal amino acid of the linear peptides, said peptides comprising a presenting group that binds specifically to a cell surface protein, and a central linker between the presenting group and the terminal amino acid; and compositions comprising a solid support and a self-assembled monolayer of linear peptides bound in a pattern to said solid support by a bond between the solid support and a terminal amino acid of linear peptides, and wherein the central linker comprises between 2 to 50 amino acids (claim 7) and wherein the central linker is oligoglycine or oligoalanine (claim 8).

**Mrksich et al.**, throughout the publication, and especially at p. 229, para 3, p. 230, para 5-p. 231, para 1, teach reproducible patterns of self-assembled monolayers (SAMs) on silicon or gold surfaces produced by microcontact printing or photolithography using a mask; at p. 231, para 3, Figure 2, teach patterned adsorption of proteins on surfaces; at p. 229, para 5-p.230, para 4, teach protein adsorption of proteins onto SAMs (taken as the bonding of an amino terminus of a polypeptide to a surface), as well as surfaces that resist adsorption of proteins, and the attachment and growth of cells on SAMs on peptides fractions of the extracellular matrix (including the peptides Arg-Gly-Asp and Tyr-Ile-Gly-Ser-Arg; at p. 232, teach SAMs as component of analytical devices; and at p. 234, Fig. 6, show a gold surface to which ethylene glycol groups and Ni(II) complexes are bound and where a histidine tagged T-cell receptor (comprising a central linker of amino acids and a presenting group that can bind, absent evidence to the contrary, to a cell surface protein or target molecule) is bound through the histidine, *i.e.*, a terminal amino acid, to a Ni(II) complex, and thereby to the surface.

**Pirrung et al.**, (US 5,143,854), throughout the patent and at col. 2, lines 23-40, teach the Merrifield method of solid phase peptide synthesis, wherein an amino acid is covalently bonded to a support made of an insoluble polymer or other material; at col. 3, lines 6-60, teach photolithography, which involve masks, to synthesize molecules at precisely known locations on a substrate; at col. 6, lines 9-21, teach monomers that can be amino acids and that can form polymers; at col. 6, lines 41-59, teach receptors that are cell membrane receptors; at col. 7, lines 49-57, teach substrates as materials having a rigid or semi-rigid surface, generally insoluble in a solvent of interest; at col. 8, lines 1-7, teach a predefined region as a localized area on a surface that may have any convenient shape; at col. 8, lines 17-33, teach synthetic strategies and devices involving solid-phase chemistry for synthesizing peptides; at col. 8, lines 46-51, teach the optional use of linker on a surface of the substrate; at col. 9 line 14-col. 10, line 16, teach synthesizing a sequence S-L-M<sub>a</sub>-P<sub>1</sub> on a region of a surface, a sequence S-L-P<sub>0</sub>, on remaining regions of the surface (taken to read on inert, background regions), a sequence S-M<sub>1</sub>-M<sub>2</sub>-M<sub>3</sub>, wherein M is monomer unit, S is a surface, L is a linker molecule and P is a protecting group, and a sequence S-[L]-(M<sub>i</sub>)-(M<sub>j</sub>)-(M<sub>k</sub>) . . . (M<sub>x</sub>)-[C], wherein C is a capping unit and the square brackets indicate optional groups; at col. 10, lines 32-43, teach polymers prepared on a substrate for binding to receptors on a cell; at col. 10, lines 54-65, teach the compositions for the immobilization of cells in patterns on a surface via molecular recognition of specific polymer sequences; at col. 27, line 49-col. 29, line 68, Figure 13A-Fig. 20, teach an arrays of peptides in various patterns, including checkerboards and strips on glass slides, wherein regions comprising peptides have surrounding regions without peptides, and provide examples of array devices with a preselected, reproducible pattern.

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**Holmes**, (US 5,527,681), throughout the patent and at col. 1, lines 36-45, teaches the Merrifield method of solid phase peptide synthesis, wherein an amino acid is covalently bonded to a support made of an insoluble polymer or other material; at col. 2, lines 1-43, teach photolithography, which involve masks, to synthesize molecules at precisely known locations on a substrate; at col. 4, lines 43-61, teach receptors that include cell surface receptor molecules; at col. 4, line 66-col. 5, line 28, teaches substrates as materials having a rigid or semi-rigid surface, generally insoluble in a solvent of interest, and a predefined region as a localized area on a surface; at col. 6, lines 56-67, teaches synthetic strategies and devices involving solid-phase chemistry for synthesizing peptides; at col. 7, lines 31-35, teaches the optional use of linker on a surface of the substrate; at col. 7, lines 43-48, teach glass substrates; at col. 8, line 5-col. 9, line 20, teaches synthesizing a sequence S-L-M<sub>1</sub>-P on a region of a surface, as well as remaining regions of the surface (taken to read on inert, background regions), a sequence S-L-P<sub>σ</sub>, a sequence S-M<sub>1</sub>-M<sub>2</sub>-M<sub>3</sub>, wherein M is monomer unit, S is a surface, L is a linker molecule and P is a protecting group, and a sequence S-[L]-(M<sub>1</sub>)-(M<sub>2</sub>)-(M<sub>3</sub>) . . . (M<sub>k</sub>)-[C], wherein C is a capping unit and the square brackets indicate optional groups; at col. 9, lines 22-34, teach polymers prepared on the substrate that may be used for receptors on a cell; at col. 9, lines 50-55, teaches the compositions for the immobilization of cells in patterns on a surface via molecular recognition of specific polymer sequences; at col. 10, line 66-col. 11, line 26, teaches an array of peptides; at col. 14, line 57-col. 15, line 7, teach a tether molecule (T) coupled to a surface of the substrate, where T may be a monomer in a polymer, such as glutamic acid, serine, cysteine; at col. 17, lines 28-39, teaches monomers, such as glutamic acid, that are readily attachable to the substrate; and at col. 26, lines 20-40, teach the application of photolithography to create arrays of spatially-addressable chemical libraries, and provide examples of pairs of slides comprising the same polypeptide, indicating the manufacture of devices with a preselected, reproducible pattern.

Pirrung et al. and Holmes teaches photolithography and mask technology for their solid phase synthesis of the attached peptides, which is inherently a self-assembled monolayer, as evidenced by Mrksich et al., TibTech June 1995 (Vol. 13), pp. 228-235. It is noted that the instant specification does not disclose any particular molecular structure that distinguish a peptide array formed as a self-assembled monolayer from a peptide array formed by different processes. Therefore, absent evidence to the contrary, the peptide arrays of the devices taught by Holmes do not differ from the self-assembled monolayers of the claimed invention.

None of the aforementioned references of Pirrung et al., Holmes or Mrksich et al., teach compositions comprising a central linker between the presenting group and the terminal amino acid wherein the central linker comprises between 2 to 50 amino acids (as in claim 7) and wherein the central linker is oligoglycine or oligoalanine (as in claim 8).

**Schatz et al.**, (US 5,270,170), throughout the patent and especially at col. 4, lines 6-12, teach linkers or spacers that are molecules or groups of molecules that connect two molecules, such as a protein and a random peptide, and that serve to place the two molecules in a preferred configuration, e.g., so that the random peptide can bind to a receptor with minimal steric hindrance from the protein; at col. 16, lines 5-10, teach spacer molecules with 20-30 residues, and where the spacer residues are preferably at least two to three or more but usually less than eight to ten; and at col. 16, lines 19-58, spacers reduces that are somewhat flexible, comprising oligoglycine, such that interaction of random peptides with selected receptors may be facilitated.

It would have been prima facie obvious at the time the invention was made for one of ordinary skill in the art to have made and used compositions comprising a central linker between the presenting group and the terminal amino acid and wherein the central linker comprises between 2 to 50 amino acids and wherein the central linker is oligoglycine or oligoalanine, as taught by Schatz et al.

One of ordinary skill in the art would have been motivated to make and use compositions comprising a central linker between the presenting group and the terminal amino acid and wherein the central linker comprises between 2 to 50 amino acids and wherein the central linker is oligoglycine or oligoalanine, because Schatz et al. teach that linkers or spacers that are oligoglycine are somewhat flexible and can be used to position peptides, which are linked to a different molecule, for binding to receptors and because Schatz et al. teach the preferable use of spacers with residues of at least two to three or more but usually less than eight to ten residues.

The references of Mrksich et al., Pirrung et al., Holmes teach mammalian somatic cells, as in claims 21 and 22, as described above in the maintained rejections under 35 USC 102.

Applicant argues that the references of Mrksich et al., Pirrung et al., Holmes or Schatz et al., do not teach utilizing peptides with a specific binding motif to immobilize cells at a certain locus on a patterned SAM, and so do not anticipate the claims.

#### Response to Arguments

Applicant's arguments entered 5/20/2005 have been fully considered but they are not persuasive. The references of Mrksich et al., Pirrung et al., Holmes or Schatz et al., do teach utilizing peptides with a specific binding to immobilize cells on a patterned SAM, as described above in the maintained rejections under 35 USC 102.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., specific binding motifs) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

#### **Conclusion**

16. Claims 1-8, 21 and 22 stand finally rejected. Claims 17-20 are withdrawn.



17. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark L. Shibuya whose telephone number is (571) 272-0806. The examiner can normally be reached on M-F, 8:30AM-5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Andrew Wang can be reached on (571) 272-0811. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Mark L. Shibuya  
Examiner  
Art Unit 1639

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PRIMARY EXAMINER